

LEEDS SCHOOL FORUM

Meeting to be held in Civic Hall, Leeds on
Thursday, 14th June, 2018 at 4.30 pm

MEMBERSHIP

GOVERNORS	HEADTEACHERS
Primary (6 seats)	Primary (7 seats)
Phil Hirst Christ Church Upper Armley	Peter Harris Farsley Farfield
Sue Knights Little London & Alwoodley	Sarah Griggs Valley View
Gillian Simpson Shakespeare	Julie Harkness Carr Manor
Sara Nix Rawdon Littlemoor	Helen Stout Meadowfield
Deryn Porter Cobden Primary	John Hutchinson St Theresa's
Ritchie Halls Windmill Low Rd Federation	Claire Harrison Wetherby Deighton Gates
	Helen Stott Allerton C of E
Secondary (2 seats)	Secondary (3 seats)
Doug Martin Pudsey Grange/field	Delia Martin Benton Park
Janice Rush Allerton Grange	<i>vacancy</i>
	<i>vacancy</i>
Special (1 seat)	Special (1 seat)
Amanda Jahdi East SILC	Diane Reynard East SILC
Non School	Academies - mainstream (8 seats)
Peter Best PVI Providers	David Gurney Cockburn School
Susan Knowles PVI Providers	Mike Gidley White Rose Academies Trust
Patrick Murphy Schools JCC	Ken Morton Brigshaw LP MAT & Ashtree
Richard Noake Diocese of WY&Dales	Adam Ryder Bruntcliffe Academy
Colin Booth 16-19 Providers	Scott Jacques Springwell Leeds Academy
Angela Cox OBE Catholic Diocese	John Thorne Co-op Academy Priesthorpe
	Emma Lester Woodkirk Academy
	<i>Ian Goddard Ebor Gardens/Victoria Primary</i>
	<i>Siobhan Roberts Cockburn John Charles</i>
	Academy – SILC (1 seat)
	<i>To be confirmed</i>
	Academy – Alternative Provision (1 seat)
	<i>To be confirmed</i>
Apologies to Vicki White	Tel: 0113 378 3594
7 th Floor West, Merrion House	Email: vicki.white@leeds.gov.uk
110 Merrion Centre, LS2 8BB	

A G E N D A

Item No	Title	Lead	Time	Purpose
1.	APOLOGIES AND INTRODUCTIONS		16:30	For decision
2.	MINUTES OF PREVIOUS MEETING <i>5 - 10</i>		16:35	For decision
3.	MATTERS ARISING		16:40	For decision
4.	SCHOOL AND EXTENDED SCHOOL BALANCES 2017/18 See attached <i>11 - 28</i>		16:50	For information
5.	FREE SCHOOL MEAL ELIGIBILITY CHANGES See attached <i>29 - 30</i>		17:10	For information
6.	SEMH PROVISION SET-UP FUNDING See attached <i>31 - 34</i>		17:15	For information
7.	DSG OUTTURN REPORT 2017/18 See attached <i>35 - 44</i>		17:35	For decision
8.	ANY OTHER BUSINESS			For decision

9.	MEETING DATES 2018-19 Thursday 04/10/2018 Thursday 22/11/2018 Thursday 17/01/2019 Thursday 21/02/2019 Thursday 13/06/2019		18:00	For information
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LEEDS SCHOOLS FORUM

Minutes of the meeting held on Thursday 22 February, 2018 at Civic Hall (4.30PM – 6.30PM)

<u>Membership</u> (<i>Apologies in Italics</i>)	
<u>GOVERNORS</u>	<u>HEADTEACHERS</u>
Primary (6 seats)	Primary (7 seats)
Phil Hirst <i>Christ Church Upper Armley</i>	Peter Harris <i>Farsley Farfield</i>
Sue Knights <i>Little London & Alwoodley</i>	Sarah Griggs <i>Valley View</i>
Gillian Simpson <i>Shakespeare</i>	Julie Harkness <i>Carr Manor</i>
Sara Nix <i>Rawdon Littlemoor</i>	Helen Stout <i>Meadowfield</i>
Deryn Porter <i>Cobden Primary</i>	John Hutchinson <i>St Theresa's</i>
Richard Halls <i>Windmill Low Rd Federation</i>	Claire Harrison <i>Wetherby Deighton Gates</i>
	Helen Stott <i>Allerton C of E</i>
Secondary (2 seats)	Secondary (3 seats)
Doug Martin <i>Pudsey Grange</i>	Delia Martin <i>Benton Park</i>
Janice Rush <i>Allerton Grange</i>	Vacancy
	Vacancy
Special (1 seat)	Special (1 seat)
Amanda Jahdi <i>East SILC</i>	Diane Reynard <i>East SILC</i>
Non School	ACADEMIES (8 seats)
Peter Best <i>PVI Providers</i>	David Gurney <i>Cockburn School</i>
Susan Knowles <i>PVI Providers</i>	Mike Gidley <i>White Rose Academies Trust</i>
Steve Thompson <i>Schools JCC</i>	Ken Morton <i>Brigshaw LP MAT & Ashtree</i>
Richard Noake <i>Diocese of WY & Dales</i>	Adam Ryder <i>Bruntcliffe Academy</i>
Colin Booth <i>16-19 Providers</i>	Scott Jacques <i>Springwell Leeds Academy</i>
Angela Cox OBE <i>Catholic Diocese</i>	John Thorne <i>Co-op Academy Priesthorpe</i>
	Emma Lester <i>Woodkirk Academy</i>
	<i>To be confirmed</i>
	AP Academy
	<i>To be confirmed</i>
Local Authority Reps:	
Sue Rumbold, Chief Officer Partnerships	Louise Hornsey, Principal Financial Manager
Viv Buckland, Head of Service Learning Systems	Simon Criddle, Head of Finance
Tim Pouncey, Chief Officer Strategy & Resources	Andrew Eastwood, Chief Officer Learning Improvement
Steve Walker, Director Children & Families	
Minutes:	
Mark Thompson, Leadership Assistant	

Item		Action
1.0	Apologies & introductions	
1.1	Peter Harris (Chair) extended a warm welcome to members and observers, and formally introduced new representatives. Apologies were noted. There are two secondary head teacher vacancies.	
2.0	Minutes of the 18 January 2018 meeting	
2.1	The minutes were agreed as a true record.	AE/TP
2.2	Item 2.2: Louise Hornsey advised that a report on school deficit balances is included in this meeting's papers.	
2.3	Item 4.7: Andrew Eastwood explained to members the reasons behind the high pre-opening costs of Springwell Leeds, which included securing temporary accommodation and hiring staff in advance in order to adequately meet the needs of extremely vulnerable children. Members still felt the cost was extremely high and requested an itemised paper for the next meeting (June 7, 2018). Helen Stott (Allerton C of E) requested that this include comparative data with other new schools, while Helen Stout (Meadowfield) remarked it would be useful to know what positive impact the sizeable funding has had on Springwell's children.	
2.4	Item 5.7: Steve Walker reported on a recent meeting with DfE and ESFA national representatives on the difficulties caused by the capped increase within the new formula to the High Needs Block. They were sympathetic to our situation, but no indication was given that we would receive any additional funding. Tim Pouncey added that a letter had been sent to the minister outlining our issues and concerns, and asking for the cap to be reviewed.	
2.5	Item 5.20: Discussion was had around AIPs and the in-year underspend against the exceptional place funding. PH requested more detail around the underspend and felt there should be sizeable clawback. Helen Stott (Allerton C of E) queried how much of it relates to places at The Stephen Longfellow Academy. AE advised that the base funding element ultimately comes from the High Needs Block from Sept 2019. PH asked what amount of the underspend had been returned to the High Needs Block, but Simon Criddle advised the figure was not yet available. <i>Requested clarification: Sir John Townsley, CEO of TGAT, wrote to Peter Harris on Wed 13th June on this issue. He has requested that it is noted that an additional £3.5 million will have come into the city in the first two years of the Stephen Longfellow Academy, as well as £10.3 million of capital funding.</i>	
2.6	Further discussion ensued on The Stephen Longfellow Academy and a reported disproportionate representation of GORSE Academies Trust and partner school/academy pupils. AE advised this matter had been challenged, but Stephen Longfellow is an academy and can essentially choose its own admissions criteria. SW added that the local authority plans to look into this issue. PH queried whether members could have access to the original proposal or agreement to check whether the school was delivering on the admission arrangements that had been agreed initially. David Gurney (Cockburn School) reported on capacity issues caused by temporary accommodation, which should hopefully be alleviated once permanent accommodation opens in September. Members requested an update in due course. <i>Requested clarification: Sir John Townsley, CEO of TGAT, wrote to Peter Harris on Wed 13th</i>	

	<i>June on this issue. He has stated that the secondary provision was always intended for TGAT and partner schools' students, whereas the admissions policy for primary age children is more open to pupils across the city. He reported that the primary pupil profile in Stephen Longfellow is more reflective of the wider city. He states that the LA endorsed this policy at the time of the free school application and has never challenged any disproportionate representation of TGAT students in Stephen Longfellow since it opened.</i>	
2.7	Item 6.1: John Thorne (Co-op Academy Priesthorpe) reported on a letter he sent to the Minister of State regarding the revision to the Universal Credit entitlement criteria for the Early Years Pupil Premium (EYPP) and Free School Meals (FSM). AE advised the matter had also been discussed at Scrutiny Board. Members suggested that PH submit a letter on behalf of Schools Forum outlining our concerns. It was agreed SW would arrange for this to be drafted on PH's behalf.	SW
3.0	Matters arising	
3.1	No items discussed.	
4.0	2018/19 Free Early Education Entitlement Rates	
4.1	Viv Buckland presented her paper on 2018/19 Free Early Education Entitlement (FEEE) rates and invited members to comment on the funding proposals for the Early Years Funding.	
4.2	Local authorities will continue to receive funding via the Early Years National Funding Formula in April 2018 to fund the FEEE for 2, 3 and 4-year-olds. In line with the guidance, the local authority has been consulting with providers on the proposed rates for 2018/19. VB's report sets out the proposed rates which will be determined following the conclusion of the consultation with providers.	
4.3	VB advised that the 2-year-old FEEE funding rate paid to Leeds City Council remains unchanged at £5.20 for each eligible hour of entitlement. Previously providers have received £5.05 per hour of this allocation, with the remaining amount used to cover the annual shortfall in funding received as a result of it being allocated based on the spring term places which has historically had the lowest numbers. Following a review of the take-up of places, the Council is able to pay providers an additional £0.05 for each eligible hour of entitlement from April 2018. The new funding rate for 2018/19 will increase to £5.10 per hour up to a maximum of £2,907 per child per annum.	
4.4	VB reported on the funding allocation received of £4.81 per hour per child for three and four-year-olds by Leeds City Council, and outlined the proposed allocation between centrally retained (£0.20), deprivation supplement (£0.31), SEND Inclusion Fund (£0.05) and base rate paid to providers (£4.25). The base rate represents a £0.05 increase on the current figure, while centrally retained and SEND Inclusion Fund represent a decrease of £0.04 and £0.01 respectively.	
4.5	Helen Stott (Allerton C of E) queried the increased funding in two services (Sensory Services and Family Services). VB advised much of it is simply moving money between portfolios and refining our knowledge of where money is being spent. The allocation for Family Services has been increased to include Stay & Play parent and children learning sessions and Communication & Language work to further increase readiness for learning. SC advised that	

	there will be no identified uplift in spend, but this proposal ensures sustainability of existing budgets.	
4.6	Helen Stout (Meadowfield) queried the reduction in Education Psychology funding. VB advised the reduction is due to fewer requests for EHCP needs assessments for 2, 3 and 4-year-olds in 2017/18 than in previous years. However, she assured members that no less money is being put into educational psychology.	AR
4.7	PH asked about Northpoint Wellbeing and was advised it is a registered charity helping people in emotional distress as a result of bereavement, serious illness, etc. It is a commissioned city-wide service that counsels children/young people and parents. Members requested further information on this service.	
4.8	Helen Stott (Allerton C of E) asked about the process of finding out whether 2-year-olds are eligible or not, and could anything be done in terms of processes and transparency for 2-year-old funding. VB advised that she would welcome feedback and they are open to exploring different options.	
4.9	Decision: Schools Forum was asked to agree the proposed allocation of the centrally retained element of the three and four-year-old funding, as outlined at 3.4 in the paper. Schools Forum approved all items (1 to 11) of the centrally retained funding.	
4.10	VB invited feedback on the paper's proposals on local universal base rate, supplements and SEND Inclusion Fund.	
4.11	Helen Stott (Allerton C of E) asked how Leeds compares to other local authorities, to which VB advised she was aware some local authorities were proposing to reduce rates, while Leeds is looking at an increase.	
4.12	Ken Morton (Brigshaw LP MAT & Ashtree) asked about the context of the balance on the Block, and whether a different rate would be set if those balances happened again. SC advised that the local authority is not budgeting for the Early Years Block to be underspent next year. There will always be variations, as we get paid on a census basis. VB added that it needs to be financially viable, we must ensure we can meet our childcare duty, and the rate would be reviewed annually.	
4.13	Helen Stott (Allerton C of E) remarked that the funding needs to take into account increasing staffing costs.	
4.14	John Hutchinson (St Theresa's) remarked on the practicalities around 30 Hours Childcare funding, and said it is not straightforward to identify the children who were receiving 30 hours come census time. VB advised the local authority is more than happy to engage in conversations with providers about how to make it as straightforward a process as possible.	
5.0	Dedicated Schools Grant 2017/18 – Budget Monitoring Month 10	
5.1	SC presented his paper on the latest 2017/18 budget monitoring position on the Dedicated Schools Grant (DSG) as at the end of January 2018.	
5.2	The report projects an underspend of £228k. This is made up of a significant overspend on the High Needs Block largely as a result of increased expenditure on SEN top-ups. This is	

	wholly offset by a projected underspend on the Early Years Block and a small underspend on the Schools Block.	
5.3	SC advised the projected underspend is an improvement of £1,113k compared to the November projections and is as a result of the expected delay in the special school academy conversion which means that the deficit will not be funded during 2017/18 but will be an additional cost in 2018/19.	
5.4	SC reported that a review has been started of the arrangements relating to school deficit balances. Annual reporting to Schools Forum already includes details of school balances, and these are reported in June each year following the closedown of accounts. In line with this, the 2017/18 closing balances will be reported at the June 2018 Schools Forum meeting, and a further update on the review of deficit balance arrangements will be provided.	
6.0	Dedicated Schools Grant High Needs Budget 2018/19 and Projected Costs for Future Years	
6.1	SC presented his report on the 2018/19 budget for the High Needs Block following recent work identifying pressures and potential savings as reported to Schools Forum in recent months.	
6.2	SC advised the budget for 2018/19 has been set within the estimated income available and budgets to contribute £895k towards the deficit brought forward. The report also provides further information on the latest projected grant income and costs for the years 2019/20 to 2021/22. However, SC stressed that there are risks around the assumptions made in future years, particularly after 2019/20 as no guidance has been received from the government on grant allocations.	
6.3	In order to produce a balanced budget, the following actions have been included: - Increased funding of £2m as a result of a transfer from the Schools Block - Increased funding of £500k as a result of a transfer from the Central School Services Block - Remove High Needs Block funding for the Teenage Pregnancy Service - Savings of £492k from increasing the threshold before additional blocks of £6k can be applied for from 40% to 50% - Savings of £1.5m from decreasing the unit rate on FFI from £684 per unit to £600 per unit	
6.4	SC advised that, in light of concerns raised regarding the impact of the proposed savings on schools with significant numbers of SEN pupils, the council is proposing to mitigate this by applying transitional protection to limit individual school reductions in 2018/19 to 1.5% of the sum of baseline and high needs funding. Capping losses in this way is estimated to reduce the savings by £62k and is based on the 2017/18 pupil data. This additional cost in 2018/19 will be funded by reducing the contribution to repay the deficit.	
6.5	SC directed members to the report's appendices, which include the current estimated shortfall or surplus in the High Needs Block and the impact on the overall deficit reserve.	
6.6	JH asked whether schools with significant numbers of SEN pupils had been informed of how much funding they will lose. SC advised that the local authority had written to every head teacher and chair of governors to provide an explanation on the decisions taken around the	

	2018/19 funding arrangements. Also, schools will be contacted with their individual funding settlements for 2018/19 in due course.	
6.7	SC reported that the local authority is anticipating a balanced position each year, but with considerable risks. This will be closely monitored.	
6.8	JT asked whether the local authority intends on transferring a further £2m from the Schools Block in 2019/20. SC advised it is likely and further consultation would be had with schools and Schools Forum.	
6.9	KM remarked that it would be useful to have a better level of understanding around the key assumptions. SC noted this suggestion. KM added that some of the risks/assumptions could have a big impact on September 2019, and it would be helpful to understand the likely scenarios next term (rather than autumn term) in order to be better prepared.	SC
7.0	AOB	
7.1	SW reported on Ofsted's recent focused visit to Leeds City Council Children's Services. The letter is now published on Ofsted's website and is very positive. SW thanked partners around the table for their continued support.	
8.0	Meeting dates	
	2017/18 academic year: • March 22, 2018 – members agreed this meeting was not required • June 14, 2018	



Report of the Director of Children and Families

Report to the Leeds Schools Forum

Date: 14 June 2018

Subject: School and extended school balances 2017/18

Report Author: Louise Hornsey

Contact telephone number: 0113 3788689

Summary of main issues

1. At the end of 2017/18, there was a net surplus balance of £25.4m for schools and extended schools, which is a reduction of £4.2m compared to 2016/17 (£29.6m). Within this, balances for schools have decreased from a surplus of £20.6m at the end of 2016/17 to £18m at the end of 2017/18. This is an improved position compared to the budgets set with schools at the beginning of 2017/18, which had indicated that school balances would fall to £10.7m.
2. Although there was an overall surplus of £18m for schools at the end of 2017/18, within this some schools have been operating with deficit balances. The number of schools with a closing deficit balance has increased by one since the end of 2016/17, with a total of 27 schools carrying forward a deficit from 2017/18. The value of the deficit balances has increased by £1.7m during 2017/18, to a closing balance of £5.7m. Schools with projected deficit balances above a certain threshold have been asked to submit a deficit action plan detailing how the budget will be brought back into balance.
3. There are eight schools where balances have remained greater than 15% over three successive financial years. These are all primary schools and they have been asked to complete an application if they wish to retain their excess balance.
4. Surplus balances for Area Inclusion Partnership are being reviewed and are currently subject to clawback of £250k for 2017/18.
5. A further report will be brought to Schools Forum later in the year to provide an update on clawback from schools and deficit action plans.

Recommendations

6. Schools Forum is asked to note the 2017/18 school and extended school balances, and the work ongoing to consider both clawback of excess surplus balances and review deficit action plans.

1 Background information

- 1.1 Year-end balances for schools and extended schools roll forward to the following year, subject to clawback of funding in some cases where excess surplus balances are held.
- 1.2 School balances are subject to clawback where the surplus balance has been more than 15% of the Individual Schools Budget for three consecutive years. The Individual Schools Budget is the sum of the Dedicated Schools Grant (DSG) and funding provided from the Education and Skills Funding Agency for post-16 expenditure.
- 1.3 Surplus balances held by AIPs are also subject to clawback. Other balances held by schools for extended services and collaborative projects are not subject to clawback, as they are not directly funded through the DSG.
- 1.4 Schools with projected deficit balances above a certain threshold are required to submit a deficit action plan detailing how the budget will be brought back into balance.

2 Main issues

2.1 Summary balances

- 2.1.1 The overall surplus balance for schools and extended schools has been decreasing over recent years. The position at the end of 2017/18 was a net surplus of £25.4m, which is a reduction of £4.2m compared to 2016/17 when the closing surplus balance was £29.6m.
- 2.1.2 Within this, balances for schools have decreased from a surplus of £20.6m at the end of 2016/17 to £18m at the end of 2017/18. The 2017/18 surplus represents 5% of the individual schools budget (compared to 5.6% in 2016/17). This is an improved position compared to the budgets set with schools at the beginning of 2017/18, which had indicated that school balances would fall to £10.7m. The mid-year budget reviews had indicated that the position would improve to £13m, and the final position of £18m was therefore a further improvement on these projections.

Table 1 – Year end surplus balances for maintained schools and extended school services

	2015/16	2016/17	2017/18	Movement 16/17 to 17/18
	£000	£000	£000	£000
Schools	-28,775	-20,630	-17,985	2,645
School-led Extended Services	-3,753	-3,861	-3,087	774
Area Inclusion Partnerships	-2,585	-2,005	-1,535	470
Sports Development	-71	-45	-10	35
Clusters	-3,785	-3,106	-2,746	359
Total surplus balance	-38,969	-29,647	-25,363	4,283

2.2 School balances 2017/18

2.2.1 The average balances for schools by phase are:

- Primary schools – 8.3% surplus (compared to 8.8% in 2016/17)
- Secondary schools – 0.4% deficit (the same as in 2016/17)
- Special schools – 1.7% deficit (compared to a 2.1% surplus in 2016/17)

Surplus balances

2.2.2 In total there are 23 schools where balances are above 15% of the school budget share at the end of 2017/18. This is the same number of schools as in 2016/17.

2.2.3 There are eight schools where balances have remained greater than 15% over three successive financial years. These are all primary schools and they have been asked to complete an application if they wish to retain this excess balance. These applications will be considered by the Schools Forum Panel before the final decision is made by the Director of Children and Families.

2.2.4 The remaining 15 schools (all primaries) that have balances over the 15% threshold are not subject to clawback as the balance has not been over the 15% threshold for 3 consecutive years. However we have still written to these schools to ask them to explain how this balance has arisen and detail their plans for spending this.

Deficit balances

2.2.5 Although there was an overall surplus of £18m for schools at the end of 2017/18, within this some schools have been operating with deficit balances. The number of schools with a closing deficit balance has increased by one since the end of 2016/17, with a total of 27 schools carrying forward a deficit from 2017/18. The value of the deficit balances has increased by £1.7m during 2017/18, to a closing balance of £5.7m.

Table 2 – Year end balances for maintained schools

	2016/17		2017/18		Movement	
	£000	Number	£000	Number	£000	Number
School surplus balances	-£24,665	187	-£23,719	179	£945	-8
School deficit balances	£4,035	26	£5,734	27	£1,699	1
Total schools surplus balance	-£20,630	213	-£17,985	206	£2,645	-7*

* Overall reduction in the number of schools is due to academisation

2.2.6 Further analysis of the deficit balances by phase shows that the number of secondary schools with closing deficit balances has stayed constant, however the number of

primary schools with deficit balances has increased by three from 15 to 18, and the number of SILCs with deficit balances has reduced by two.

Table 3 – Schools with deficit balances by phase

Phase	2016/17		2017/18		Movement	
	£000	Number	£000	Number	£000	Number
Primary	488	15	663	18	175	3
Secondary	2,717	8	3,716	8	999	0
Special	830	3	1,355	1	525	-2
Total	4,035	26	5,734	27	1,699	1

2.3 Individual school balances

2.3.1 Balances for individual schools are attached as Appendix 1. This shows balances at the end of 2017/18 compared with 2016/17. Schools are listed in order of increasing balance under the control of surplus balances rules.

2.4 Deficit balances

2.4.1 Work is ongoing to develop budget action plans with schools in deficit and ensure an appropriate level of challenge and support is being provided. We will be working alongside the Children and Families directorate to challenge the plans put forward by schools and identify the nature and level of support these schools require. We will provide a further update to Schools Forum on deficit action plans later in the year.

2.4.2 Actions that are available to the council when a school is in deficit include:

- Insisting that relevant staff undertake appropriate training to address any identified weaknesses in the financial management of the school.
- Insisting that an appropriately trained/qualified person chairs the finance committee of the governing body.
- Placing more stringent restrictions or conditions on the day to day financial management of a school than the scheme requires for all schools – such as the provision of monthly accounts to the council.
- Insisting on regular financial monitoring meetings at the school attended by council officers.
- Requiring a governing body to use the council's financial management systems.
- Imposing restrictions or limitations on the manner in which a school manages extended school activity funded from within its delegated budget share (e.g. by requiring a school to submit income projections and/or financial monitoring reports on such activities).

- If a school does not comply with the approved action plan and fails to clear the deficit within the agreed period, financial delegation could ultimately be removed as empowered under Section 51 of the School Standards and Framework Act 1998.

- 2.4.3 The Schools Finance Officers already work closely with schools to support them with their budgets and provide challenge where deficits exist or are anticipated in future years. Depending on the issues faced by particular schools this work has included actions such as financial health checks, benchmarking of costs, more frequent budget monitoring visits and attendance at relevant school meetings. We will continue to provide this support and challenge, and will consider what level of additional monitoring may be required as part of the approval of any deficit action plans.
- 2.4.4 The Schools Finance team has arrangements in place to share any concerns over a school's financial position with the council's School Improvement team and HR service. These arrangements will continue and we will also look at other ways to share relevant information across council services.
- 2.4.5 Once a school has been served with an academy order, the Schools Finance Officers will work with the school to reduce the risk of deficit balances increasing. This includes carrying out checks to ensure that expenditure relating to the new academy is not recorded against the school's accounts. Where a deficit balance reverts to the council, we will review what lessons can be learned to reduce the risk of this occurring again in future.
- 2.4.6 We will also continue to share good practice with other local authorities and the ESFA through regular regional meetings. We are currently reviewing whether there is any good practice within other authority's schemes that could be replicated in Leeds.
- 2.4.7 We have also strengthened our internal reporting arrangements and have raised the issue of increasing school deficits with senior leadership within the council. Consideration is also being given to including a new risk on the risk register in relation to school deficit balances, and this would require quarterly review and reporting to appropriate groups within the council.

2.5 **Extended services and partnership accounts**

- 2.5.1 Schools hold £7.4m of balances in extended services accounts. This is held in four broad areas as follows:
- Extended Schools Facilities (£3.1m in 2017/18, compared to £3.9m in 2016/17) - This is the balance on the running of community facilities such as children's centres, wrap around childcare, before and after school clubs and other non-school curriculum activity.
 - Sports Development (£10k in 2017/18, compared to £45k in 2016/17) - This is the balance of sports development grant held by lead schools to support activity in the summer term.

- Clusters (£2.7m in 2017/18, compared to £3.1m in 2016/17) – This is the balance held by lead schools on behalf of clusters of schools.
- Area Inclusion Partnerships (£1.5m in 2017/18, compared to £2m in 2016/17) – This is the balance held by the lead or host school on behalf of the five Area Inclusion Partnerships.

2.5.2 Surplus balances for Area Inclusion Partnership are being reviewed for 2017/18 and are subject to clawback on the following basis:

- Clawback of any funding provided for citywide exceptional places, where those places have not been provided, and
- Clawback of surplus balances in excess of 15% of other funding and income, unless a clear development plan could be provided for the use of the balance along with evidence to support the costs.

2.5.3 As a result of these controls a minimum of £250k will be clawed back from AIPs. This funding will be returned to the high needs block and has been included in the projections within the DSG outturn report which is on the agenda at this Schools Forum meeting.

2.5.4 There are no deficit balances carried forward to 2018/19 for extended services as deficits are not permitted for these budgets.

2.6 National Balances

2.6.1 National average balances at the end of 2016/17 are shown region by region in the following table. National balances for 2017/18 will not be available until autumn.

2.6.2 The benchmarking shows that at the end of 2016/17 Leeds schools had higher than average surplus balances compared to the rest of England and fewer than average schools in deficit. Leeds had the 56th highest average surplus balance out of 152 local authorities at the end of 2016/17. Leeds was also ranked 80th out of 152 local authorities for the percentage of schools in deficit at the end of 2016/17.

Table 4 – National average balances at the end of 2016/17

Area	Total no. of schools	Total surplus balance (£000)	Average surplus balance (£000 per school)	Schools in deficit (%)	Schools in surplus (%)
East Midlands	1,397	-130,309	-93	8.7	91.2
East Of England	1,796	-211,874	-118	4.2	93.8
London	1,891	-355,439	-188	10.6	88.8
North East	918	-73,046	-80	12.4	85.7
North West	2,714	-234,662	-86	9.0	90.3
South East	2,531	-220,547	-87	9.0	89.7

South West	1,490	-111,774	-75	9.1	89.9
West Midlands	1,730	-226,565	-131	9.6	90.1
Yorkshire & Humber	1,639	-137,811	-84	10.7	88.7
Leeds	224	-28,161	-126	8.0	92.0
England	16,106	-1,702,027	-106	9.1	90.0

3 Recommendations

- 3.1 Schools Forum is asked to note the 2017/18 school and extended school balances, and the work ongoing to consider both clawback of excess surplus balances and review deficit action plans.

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Appendix 1 - School and Extended School Balances

Notes:

Deficits are shown as positive figures, and surpluses are negatives

Schools shaded in grey have converted to academies

School Name	Balances at 31st March 2017			Balances at 31st March 2018			Change in Balances			Balance as %age of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			

PRIMARY SCHOOLS

Pool-in-Wharfedale C of E Voluntary Controlled Primary School	£125,984	-£2,949	£123,035	£146,039	-£1,928	£144,111	£20,055	£1,021	£21,076	18.7%		
Gledhow Primary School	-£2,763	£0	-£2,763	£154,673	£0	£154,673	£157,436	£0	£157,436	7.3%		
Barwick-in-Elmet C of E Voluntary Controlled Primary School	-£15,015	-£20,266	-£35,282	£39,723	-£9,507	£30,216	£54,739	£10,759	£65,497	4.9%		
Harewood C of E Voluntary Controlled Primary School	£1,176	£0	£1,176	£18,746	£0	£18,746	£17,570	£0	£17,570	4.0%		
Armley Primary School	£15,199	£2,920	£18,119	£27,021	£10,964	£37,984	£11,821	£8,044	£19,865	3.1%		
Woodlesford Primary School	-£8,336	-£397	-£8,733	£49,397	-£61	£49,336	£57,733	£337	£58,070	3.1%		
Low Road Primary School	-£19,344	£0	-£19,344	£22,754	£0	£22,754	£42,098	£0	£42,098	2.7%		
Tremere Park Primary School	-£42,192	-£88,918	-£131,110	£29,649	-£173,696	-£144,047	£71,840	-£84,778	-£12,938	2.6%		
Broken Edge Primary School	-£91,252	£0	-£91,252	£51,232	£0	£51,232	£142,485	£0	£142,485	2.5%		
Driflington Primary School	-£32,895	-£11,216	-£44,111	£32,793	-£2,370	£30,423	£65,688	£8,846	£74,534	2.1%		
Millfield Primary School	-£18,493	-£12,952	-£31,445	£36,043	-£28,041	£8,002	£54,535	-£15,089	£39,446	2.0%		
Westwood Primary School	£28,201	£0	£28,201	£23,482	£0	£23,482	-£4,719	£0	-£4,719	1.8%		
Adel Primary School	-£18,323	-£47,843	-£66,166	£8,594	-£47,124	-£38,529	£26,917	£720	£27,637	0.9%		
Bramhope Primary School	-£129,514	£0	-£129,514	£5,877	£0	£5,877	£135,391	£0	£135,391	0.6%		
Park Spring Primary School	-£60,279	-£6,700	-£66,979	£8,229	-£13,114	-£4,886	£68,508	-£6,415	£62,093	0.5%		
Horsforth Newlaithes Primary School	£22,210	£12,159	£34,369	£5,298	-£13,564	-£8,266	-£16,912	-£25,723	-£42,636	0.4%		
Shadwell Primary School	-£44,068	£12,175	-£31,893	£2,706	£0	£2,706	£46,774	-£12,175	£34,599	0.3%		
Westbrook Lane Primary School	-£7,268	£0	-£7,268	£704	£0	£704	£7,973	£0	£7,973	0.1%		
Christ The King Catholic Primary School	£74,233	£0	£74,233	£0	£0	£0	-£74,233	£0	-£74,233	0.0%		
Kippax North Junior and Infant School	£0	-£87,952	-£87,952	£0	-£50,407	-£50,407	£0	£37,545	£37,545	0.0%		
Kippax Ash Tree Primary School	-£78,206	-£2,113	-£80,320	£0	£0	£0	£78,206	£2,113	£80,320	0.0%		
Richmond Hill Primary School	-£167,978	£224	-£167,753	£0	£0	£0	£167,978	-£224	£167,753	0.0%		
Pudsey Tyersal Primary School	-£99,761	£0	-£99,761	£0	£0	£0	£99,761	£0	£99,761	0.0%		
Pudsey Waterloo Primary	-£243,791	-£152,569	-£396,360	£0	£0	£0	£243,791	£152,569	£396,360	0.0%		
Pudsey Primrose Hill Primary School	-£226,570	-£196,841	-£423,411	£0	£0	£0	£226,570	£196,841	£423,411	0.0%		
Hunslet St Mary's C of E Primary School	-£20,496	£0	-£20,496	-£347	£0	-£347	£20,150	£0	£20,150	0.0%		
St Matthew's C of E Aided Primary School	£19,840	£0	£19,840	-£2,152	£0	-£2,152	-£21,992	£0	-£21,992	-0.1%		
Robin Hood Primary School	-£78,815	-£48,256	-£127,071	-£2,371	-£45,548	-£47,920	£76,444	£2,708	£79,151	-0.2%		
St Margaret's C of E Voluntary Controlled Primary School	-£23,678	£0	-£23,678	-£3,342	£0	-£3,342	£20,337	£0	£20,337	-0.2%		
Blackgates Primary School	-£177,557	-£40,548	-£218,104	-£8,993	-£22,438	-£31,431	£168,564	£18,109	£186,674	-0.5%		
Summerfield Primary School	-£6,173	-£2,977	-£9,150	-£6,302	-£415	-£6,717	-£129	£2,562	£2,433	-0.6%		
Parklands Primary School	-£2,255	£0	-£2,255	-£11,353	£0	-£11,353	-£9,098	£0	-£9,098	-0.7%		

Appendix 1 - School and Extended School Balances

Notes:

Deficits are shown as positive figures, and surpluses are negatives

Schools shaded in grey have converted to academies

Balances at 31st March 2017				Balances at 31st March 2018			Change in Balances			Balance as %age of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
School Name	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			
Beeston Primary School	£52,360	£0	£52,360	-£21,036	-£760	-£21,796	-£73,396	-£760	-£74,156	-0.9%		
Calverley C of E Voluntary Aided Primary School	-£51,288	£0	-£51,288	-£12,289	£0	-£12,289	£38,999	£0	£38,999	-1.0%		
Kirkstall St Stephen's C of E Primary School	£906	-£23,954	-£23,048	-£9,470	£1,949	-£7,521	-£10,375	£25,903	£15,527	-1.1%		
St James' C of E Voluntary Controlled Primary School	-£42,224	£0	-£42,224	-£6,765	£0	-£6,765	£35,459	£0	£35,459	-1.4%		
Weetwood Primary School	£20,489	-£25,452	-£4,964	-£14,057	-£46,851	-£60,908	-£34,546	-£21,399	-£55,945	-1.5%		
Windmill Primary School	£29,930	-£91,921	-£61,991	-£38,154	-£14,102	-£52,256	-£68,083	£77,819	£9,736	-1.9%		
Brodetsky Primary School	£83,492	£0	£83,492	-£22,564	£0	-£22,564	-£106,056	£0	-£106,056	-2.1%		
Micklefield C of E Voluntary Controlled Primary School	£1,615	£0	£1,615	-£11,316	£0	-£11,316	-£12,931	£0	-£12,931	-2.2%		
Greenmount Primary School	-£140,277	£0	-£140,277	-£44,547	£0	-£44,547	£95,730	£0	£95,730	-2.2%		
Westroyd Primary School and Nursery	-£88,125	-£5,473	-£93,598	-£18,029	-£7,060	-£25,089	£70,097	-£1,587	£68,509	-2.2%		
St Francis of Assisi Catholic Primary School	-£28,747	£0	-£28,747	-£24,645	£0	-£24,645	£4,102	£0	£4,102	-2.6%		
Whinmoor St Paul's C of E Primary School	£4,028	£0	£4,028	-£22,459	£0	-£22,459	-£26,487	£0	-£26,487	-2.6%		
Rosebank Primary School	£8,484	£0	£8,484	-£37,967	£0	-£37,967	-£46,452	£0	-£46,451	-2.8%		
Christ Church Upper Armley C of E Voluntary Controlled Primary School	-£93,007	£0	-£93,007	-£23,615	£0	-£23,615	£69,392	£0	£69,392	-2.8%		
Thorner C of E Voluntary Controlled Primary School	-£24,664	£0	-£24,664	-£20,685	£0	-£20,685	£3,979	£0	£3,979	-2.9%		
Beeston Hill St Luke's C of E Primary School	-£99,175	£0	-£99,175	-£50,346	£0	-£50,346	£48,829	£0	£48,829	-3.1%		
St Theresa's Catholic Primary School	-£80,238	£2,682	-£77,556	-£53,294	-£6,349	-£59,643	£26,944	-£9,031	£17,912	-3.1%		
Strawberry Fields Primary School	-£38,219	-£12,001	-£50,220	-£40,230	-£31,788	-£72,018	-£2,012	-£19,786	-£21,798	-3.2%		
Hunslet Moor Primary School	-£122,800	-£1,482	-£124,282	-£52,477	£165	-£52,311	£70,323	£1,647	£71,970	-3.4%		
Chapel Allerton Primary School	-£107,718	£0	-£107,718	-£63,350	£0	-£63,350	£44,368	£0	£44,368	-3.5%		
Bramley St Peter's C of E Voluntary Controlled Primary School	-£121,592	-£4,598	-£126,190	-£52,465	-£10,945	-£63,409	£69,127	-£6,347	£62,781	-3.5%		
Holy Rosary and St Anne's Catholic Primary School	-£31,254	-£206	-£31,460	-£36,785	£733	-£36,052	-£5,531	£939	-£4,592	-3.6%		
Farsley Springbank Primary School	-£36,660	£0	-£36,660	-£52,709	£0	-£52,709	-£16,049	£0	-£16,049	-3.8%		
Cobden Primary School	-£76,195	-£19,458	-£95,653	-£38,529	-£25,651	-£64,180	£37,666	-£6,193	£31,473	-3.8%		
Whingate Primary School	-£92,571	£0	-£92,571	-£69,003	£0	-£69,003	£23,569	£0	£23,569	-3.9%		
Raynville Primary School	-£93,864	-£4,929	-£98,793	-£75,618	-£5,712	-£81,331	£18,245	-£784	£17,462	-4.1%		
Clappgate Primary School	-£109,727	£0	-£109,727	-£78,080	£0	-£78,080	£31,648	£0	£31,648	-4.5%		
All Saints CE Primary School	-£43,293	-£22,166	-£65,459	-£41,059	-£5,176	-£46,235	£2,234	£16,990	£19,224	-4.6%		
Whitecote Primary School	-£67,100	-£5,708	-£72,808	-£86,239	-£4,455	-£90,694	-£19,138	£1,252	-£17,886	-4.6%		
Bramley Primary School	-£99,300	£0	-£99,300	-£64,737	£0	-£64,737	£34,562	£0	£34,562	-4.7%		
Bramham Primary School	-£27,618	£0	-£27,618	-£27,822	£0	-£27,822	-£204	£0	-£204	-4.7%		
Great Preston VC CofE Primary School	-£45,450	£0	-£45,450	-£41,362	£0	-£41,362	£4,088	£0	£4,088	-4.8%		
Castleton Primary School	-£61,266	-£132,879	-£194,145	-£62,308	-£124,231	-£186,539	-£1,042	£8,648	£7,606	-4.9%		
Bardsey Primary School	-£42,448	£0	-£42,448	-£34,876	£0	-£34,876	£7,573	£0	£7,573	-5.0%		

Appendix 1 - School and Extended School Balances

Notes:

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Schools shaded in grey have converted to academies

School Name	Balances at 31st March 2017			Balances at 31st March 2018			Change in Balances			Balance as %age of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			
Highfield Primary School	-£89,507	£0	-£89,507	-£83,753	£0	-£83,753	£5,754	£0	£5,754	-5.4%		
Sharp Lane Primary School	-£96,431	-£8,746	-£105,177	-£122,737	-£16,168	-£138,904	-£26,305	-£7,422	-£33,728	-5.5%		
Greenhill Primary School	-£89,238	-£8,502	-£97,740	-£108,902	-£12,117	-£121,019	-£19,664	-£3,614	-£23,278	-5.8%		
Little London Community Primary School	-£59,031	-£2,336	-£61,368	-£139,343	-£2,132	-£141,475	-£80,312	£204	-£80,107	-6.0%		
Brudenell Primary School	-£81,420	-£7,817	-£89,237	-£76,234	-£42	-£76,276	£5,186	£7,775	£12,961	-6.0%		
Five Lanes Primary School	-£195,664	-£52,954	-£248,618	-£106,867	-£39,954	-£146,820	£88,798	£13,001	£101,798	-6.0%		
Shire Oak VC Primary School	-£49,470	-£30,166	-£79,636	-£54,344	-£2,965	-£57,309	-£4,874	£27,201	£22,327	-6.0%		
Morley Victoria Primary School	-£57,726	-£17,179	-£74,906	-£101,695	-£20,096	-£121,792	-£43,969	-£2,917	-£46,886	-6.0%		
Raydon St Peter's C of E Voluntary Controlled Primary School	-£64,869	£0	-£64,869	-£63,793	£0	-£63,793	£1,076	£0	£1,076	-6.2%		
Levy E Hastings CofE Primary School	-£57,061	-£2,975	-£60,036	-£31,691	-£6,096	-£37,787	£25,370	-£3,121	£22,249	-6.2%		
Wick Primary School	-£81,495	£0	-£81,495	-£96,712	£0	-£96,712	-£15,217	£0	-£15,217	-6.5%		
Moleton Primary School	-£84,678	£0	-£84,678	-£132,196	£0	-£132,196	-£47,518	£0	-£47,518	-6.7%		
Cookridge Primary School	-£206,418	-£13,842	-£220,260	-£91,404	-£21,260	-£112,664	£115,014	-£7,417	£107,596	-6.8%		
Colton Primary School	-£58,465	-£31,928	-£90,393	-£67,969	-£28,670	-£96,640	-£9,504	£3,258	-£6,247	-6.8%		
Guisseley Primary School	-£86,841	£0	-£86,841	-£105,245	£0	-£105,245	-£18,404	£0	-£18,404	-6.9%		
West End Primary School	-£34,871	-£18,759	-£53,630	-£63,555	-£21,900	-£85,455	-£28,684	-£3,141	-£31,825	-7.0%		
St Bartholomew's CofE Voluntary Controlled Primary School	-£85,727	£0	-£85,727	-£202,066	£0	-£202,066	-£116,339	£0	-£116,339	-7.4%		
Broadgate Primary School	-£95,172	-£74,144	-£169,316	-£98,817	-£26,739	-£125,557	-£3,645	£47,405	£43,760	-7.5%		
Spring Bank Primary School	-£40,855	£0	-£40,855	-£71,003	£0	-£71,003	-£30,148	£0	-£30,148	-7.5%		
Scholes (Elmet) Primary School	-£104,761	-£16,713	-£121,474	-£91,713	-£20,488	-£112,201	£13,048	-£3,775	£9,273	-7.5%		
Gildersome Primary School	-£152,024	-£48,013	-£200,038	-£112,950	£0	-£112,950	£39,074	£48,013	£87,088	-7.5%		
Hovingham Primary School	-£123,776	£0	-£123,776	-£219,116	£0	-£219,116	-£95,341	£0	-£95,341	-7.7%		
Rothwell Primary School	-£130,988	£0	-£130,988	-£115,137	-£20,716	-£135,853	£15,851	-£20,716	-£4,864	-7.7%		
Moor Allerton Hall Primary School	-£79,635	£0	-£79,635	-£148,625	£0	-£148,625	-£68,990	£0	-£68,990	-7.8%		
Farsley Farfield Primary School	-£194,341	-£38,798	-£233,140	-£133,293	-£26,415	-£159,707	£61,049	£12,384	£73,432	-7.8%		
Shakespeare Primary School	-£84,787	£0	-£84,787	-£174,989	£0	-£174,989	-£90,202	£0	-£90,202	-7.9%		
Victoria Junior School	-£82,095	£0	-£82,095	-£54,025	£0	-£54,025	£28,071	£0	£28,071	-8.0%		
Immaculate Heart of Mary Catholic Primary School	-£111,659	£0	-£111,659	-£115,136	£0	-£115,136	-£3,476	£0	-£3,476	-8.0%		
Cross Gates Primary School	-£97,233	-£21,184	-£118,418	-£80,547	-£19,934	-£100,481	£16,686	£1,250	£17,936	-8.0%		
Ninelands Primary School	-£96,447	£0	-£96,447	-£123,920	£0	-£123,920	-£27,472	£0	-£27,472	-8.1%		
Wigton Moor Primary School	-£123,302	£0	-£123,302	-£128,843	£0	-£128,843	-£5,542	£0	-£5,542	-8.3%		
Fieldhead Carr Primary School	-£122,648	-£35,826	-£158,474	-£85,503	-£18,157	-£103,660	£37,145	£17,669	£54,814	-8.3%		
Asquith Primary School	-£63,529	-£82,273	-£145,802	-£127,246	-£55,834	-£183,080	-£63,718	£26,440	-£37,278	-8.3%		
Harehills Primary School	-£304,184	£0	-£304,184	-£242,769	£0	-£242,769	£61,416	£0	£61,416	-8.4%		

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Balances at 31st March 2017				Balances at 31st March 2018			Change in Balances			Balance as %age of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
School Name	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			
Valley View Community Primary School	-£128,142	-£3,552	-£131,694	-£144,798	-£6,867	-£151,665	-£16,657	-£3,314	-£19,971	-8.4%		
Birchfield Primary School	-£44,920	-£9,411	-£54,331	-£75,594	-£10,376	-£85,970	-£30,675	-£965	-£31,640	-8.5%		
St Joseph's Catholic Primary School, Hunslet	-£139,187	-£1,601	-£140,788	-£77,808	-£1,556	-£79,365	£61,378	£45	£61,423	-8.7%		
Carlton Primary School	-£129,612	£0	-£129,612	-£103,644	£0	-£103,644	£25,968	£0	£25,968	-8.7%		
Crossley Street Primary School	-£130,249	£0	-£130,249	-£73,822	£0	-£73,822	£56,427	£0	£56,427	-8.8%		
Carr Manor Primary School	-£199,334	-£94,820	-£294,154	-£166,754	-£100,249	-£267,003	£32,580	-£5,429	£27,151	-8.9%		
Sacred Heart Catholic Primary School	-£29,484	£0	-£29,484	-£80,295	£0	-£80,295	-£50,812	£0	-£50,812	-9.0%		
Seacroft Grange Primary School	-£58,196	£0	-£58,196	-£94,429	£0	-£94,429	-£36,233	£0	-£36,233	-9.1%		
All Saint's Richmond Hill C of E Primary School	-£90,690	-£412	-£91,103	-£103,161	-£801	-£103,962	-£12,471	-£389	-£12,860	-9.3%		
Tadot Primary School	-£197,179	£0	-£197,179	-£160,588	£0	-£160,588	£36,590	£0	£36,590	-9.4%		
Kirk Mackie Primary School	-£196,191	£0	-£196,191	-£157,387	£0	-£157,387	£38,804	£0	£38,804	-9.5%		
Manwood C of E Primary School	-£94,223	-£28,486	-£122,708	-£78,111	-£31,311	-£109,422	£16,112	-£2,826	£13,286	-9.5%		
Odton Primary School	-£147,570	-£3,629	-£151,199	-£140,743	-£5,360	-£146,103	£6,827	-£1,732	£5,096	-9.5%		
Ashfield Primary School	-£95,853	-£7,537	-£103,390	-£90,077	-£10,862	-£100,939	£5,776	-£3,324	£2,451	-9.6%		
Hawksworth C of E Primary School	-£36,749	-£16,545	-£53,294	-£42,700	-£21,703	-£64,403	-£5,951	-£5,157	-£11,109	-9.6%		
St Peter's C of E Primary School, Leeds	-£105,979	£0	-£105,979	-£102,349	£0	-£102,349	£3,631	£0	£3,631	-9.6%		
Seven Hills Primary School	-£176,190	-£34,289	-£210,479	-£163,812	-£26,362	-£190,174	£12,378	£7,927	£20,306	-9.7%		
Primrose Lane Primary School	-£62,312	-£6,858	-£69,170	-£85,606	-£13,193	-£98,799	-£23,294	-£6,335	-£29,629	-9.8%		
Westgate Primary School	-£71,750	-£7,727	-£79,477	-£91,605	£1,031	-£90,574	-£19,854	£8,757	-£11,097	-9.9%		
St Urban's Catholic Primary School	-£88,793	-£5,254	-£94,047	-£78,860	-£7,449	-£86,309	£9,933	-£2,195	£7,738	-10.0%		
Lower Wortley Primary School	-£131,771	£0	-£131,771	-£150,716	£0	-£150,716	-£18,945	£0	-£18,945	-10.0%		
St Augustine's Catholic Primary School	-£172,917	-£12,832	-£185,748	-£176,962	-£15,562	-£192,523	-£4,045	-£2,730	-£6,775	-10.1%		
Roundhay St John's C of E Primary School	-£73,202	£0	-£73,202	-£100,594	£0	-£100,594	-£27,393	£0	-£27,393	-10.4%		
Corpus Christi Catholic Primary School	-£186,536	-£4,543	-£191,079	-£138,839	-£3,024	-£141,864	£47,696	£1,519	£49,215	-10.4%		
Hunslet Carr Primary School	-£432,479	£0	-£432,479	-£208,216	£0	-£208,216	£224,263	£0	£224,263	-10.5%		
St Philip's Catholic Primary and Nursery School	-£74,035	-£7,222	-£81,258	-£114,726	-£10,296	-£125,022	-£40,691	-£3,073	-£43,764	-10.6%		
Grimes Dyke Primary School	-£195,349	£0	-£195,349	-£142,754	£0	-£142,754	£52,594	£0	£52,594	-10.7%		
Manston Primary School	-£100,829	£3,048	-£97,781	-£91,125	£1,768	-£89,357	£9,704	-£1,280	£8,425	-10.7%		
Our Lady of Good Counsel Catholic Primary School	-£66,057	-£10,947	-£77,005	-£105,159	-£10,702	-£115,860	-£39,101	£246	-£38,856	-11.2%		
Calverley Parkside Primary School	-£121,159	-£4,964	-£126,123	-£102,239	-£7,240	-£109,480	£18,919	-£2,276	£16,643	-11.3%		
Hugh Gaitskell Primary School	-£188,863	-£53,264	-£242,127	-£278,091	-£52,436	-£330,527	-£89,228	£827	-£88,400	-11.4%		
Lawns Park Primary School	-£108,914	-£33,127	-£142,040	-£114,130	-£29,083	-£143,213	-£5,216	£4,044	-£1,172	-11.6%		
Ingram Road Primary School	-£235,931	£0	-£235,931	-£186,844	£0	-£186,844	£49,087	£0	£49,087	-11.6%		
Pudsey Bolton Royd Primary School	-£270,602	£0	-£270,602	-£232,342	£0	-£232,342	£38,260	£0	£38,260	-11.6%		

Appendix 1 - School and Extended School Balances

Notes:

Deficits are shown as positive figures, and surpluses are negatives

Schools shaded in grey have converted to academies

School Name	Balances at 31st March 2017			Balances at 31st March 2018			Change in Balances			Balance as %age of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			
Yeadon Westfield Junior School	-£120,024	£0	-£120,024	-£102,209	£0	-£102,209	£17,815	£0	£17,815	-11.9%		
Bankside Primary School	-£368,828	-£14,143	-£382,971	-£355,413	-£11,821	-£367,234	£13,415	£2,322	£15,737	-12.1%		
Lady Elizabeth Hastings' C of E Primary School, Thorp Arch	-£70,224	-£28,395	-£98,618	-£65,225	-£39,452	-£104,678	£4,999	-£11,058	-£6,059	-12.1%		
St Mary's C of E Controlled Primary School Boston Spa	-£102,201	£0	-£102,201	-£68,655	£0	-£68,655	£33,546	£0	£33,546	-12.2%		
Churwell Primary School	-£163,783	£0	-£163,783	-£194,611	£0	-£194,611	-£30,829	£0	-£30,829	-12.2%		
Rothwell St Mary's RC Primary School	-£83,560	-£22,956	-£106,515	-£96,421	-£31,723	-£128,143	-£12,861	-£8,767	-£21,628	-12.4%		
Methley Primary School	-£242,132	£0	-£242,132	-£224,598	£0	-£224,598	£17,535	£0	£17,535	-12.6%		
Holy Family Catholic Primary School	-£55,008	£0	-£55,008	-£122,254	£0	-£122,254	-£67,247	£0	-£67,247	-12.7%		
Other The Whartons Primary School	-£135,652	-£16,631	-£152,284	-£84,661	-£16,099	-£100,760	£50,991	£532	£51,523	-12.7%		
Mileton St Mary's C of E Voluntary Controlled Primary School	-£130,007	-£1,699	-£131,706	-£239,944	-£4,238	-£244,183	-£109,937	-£2,539	-£112,477	-12.7%		
Conington Lady Elizabeth Hastings' C of E Primary School	-£95,551	-£90,143	-£185,694	-£92,322	-£115,025	-£207,347	£3,228	-£24,881	-£21,653	-12.7%		
St Patrick Catholic Primary School	-£106,592	£0	-£106,592	-£108,069	£0	-£108,069	-£1,477	£0	-£1,477	-12.7%		
St Anthony's Catholic Primary School, Beeston	-£199,170	-£114,437	-£313,607	-£105,385	-£152,307	-£257,692	£93,785	-£37,870	£55,915	-12.9%		
Greenside Primary School	-£135,809	£0	-£135,809	-£143,262	£0	-£143,262	-£7,452	£0	-£7,452	-12.9%		
Swinnow Primary School	-£124,514	-£73,266	-£197,780	-£137,295	-£45,651	-£182,946	-£12,781	£27,615	£14,834	-12.9%		
Rufford Park Primary School	-£153,887	-£8,799	-£162,686	-£165,350	-£18,537	-£183,887	-£11,463	-£9,738	-£21,201	-13.1%		
St Oswald's C of E Primary School	-£133,389	£0	-£133,389	-£189,443	-£35,035	-£224,478	-£56,054	-£35,035	-£91,089	-13.1%		
Southroyd Primary and Nursery School	-£184,809	-£73,498	-£258,307	-£235,893	-£19,086	-£254,980	-£51,084	£54,411	£3,327	-13.2%		
Rothwell Haigh Road Infant School	-£73,416	-£4,335	-£77,751	-£92,104	-£2,858	-£94,961	-£18,688	£1,477	-£17,211	-13.2%		
Deighton Gates Primary School	-£98,867	£0	-£98,867	-£104,087	£0	-£104,087	-£5,220	£0	-£5,220	-13.4%		
St Edward's Catholic Primary School, Boston Spa	-£61,091	£0	-£61,091	-£76,999	£0	-£76,999	-£15,909	£0	-£15,909	-13.4%		
Cookridge Holy Trinity C of E Primary School	-£204,521	-£28,872	-£233,393	-£188,993	-£25,090	-£214,083	£15,528	£3,781	£19,309	-13.4%		
Rawdon Littlemoor Primary School	-£163,214	£0	-£163,214	-£181,639	£0	-£181,639	-£18,425	£0	-£18,425	-13.5%		
Austhorpe Primary School	-£116,060	£0	-£116,060	-£107,246	£0	-£107,246	£8,813	£0	£8,813	-13.5%		
Wykebeck Primary School	-£527,026	-£170	-£527,196	-£262,972	£303	-£262,668	£264,054	£473	£264,527	-13.8%		
White Laith Primary School	-£69,182	-£9,807	-£78,989	-£130,233	-£18,424	-£148,656	-£61,051	-£8,617	-£69,667	-13.8%		
Featherbank Primary School	-£90,821	£0	-£90,821	-£108,296	£0	-£108,296	-£17,475	£0	-£17,475	-13.9%		
Stanningley Primary School	-£156,937	£0	-£156,937	-£139,239	£0	-£139,239	£17,698	£0	£17,698	-13.9%		
Lowtown Primary School	-£138,179	-£66,947	-£205,125	-£114,833	-£111,117	-£225,949	£23,346	-£44,170	-£20,824	-14.3%		
St Paul's Catholic Primary School	-£138,699	£0	-£138,699	-£112,575	£0	-£112,575	£26,124	£0	£26,124	-14.3%		
Meadowfield Primary School	-£311,334	-£71,924	-£383,258	-£301,089	-£30,662	-£331,751	£10,246	£41,261	£51,507	-14.4%		
Fountain Primary School	-£261,329	£0	-£261,329	-£243,772	£0	-£243,772	£17,557	£0	£17,557	-14.6%		
Templenewsam Halton Primary School	-£219,427	-£53,151	-£272,578	-£249,425	-£29,030	-£278,455	-£29,998	£24,122	-£5,877	-14.6%		
Yeadon Westfield Infant School	-£103,633	£0	-£103,633	-£118,637	£0	-£118,637	-£15,004	£0	-£15,004	-14.7%		

Appendix 1 - School and Extended School Balances

Notes:

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Schools shaded in grey have converted to academies

School Name	Balances at 31st March 2017			Balances at 31st March 2018			Change in Balances			Balance as %age of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			
Burley St Matthias' C of E Voluntary Controlled Primary School	-£90,912	-£1,355	-£92,267	-£137,133	-£1,321	-£138,454	-£46,222	£34	-£46,188	-14.8%		
Kirkstall Valley Primary School	-£149,880	-£14,952	-£164,833	-£152,790	-£1,930	-£154,720	-£2,910	£13,022	£10,112	-15.0%	£261	
Aberford C of E Voluntary Controlled Primary School	-£54,987	£0	-£54,987	-£69,352	£0	-£69,352	-£14,365	£0	-£14,365	-15.1%	£272	
Iveson Primary School	-£155,925	-£1,513	-£157,438	-£197,126	-£1,325	-£198,451	-£41,201	£189	-£41,013	-15.4%	£4,522	
Moortown Primary School	-£140,303	£0	-£140,303	-£126,885	£0	-£126,885	£13,418	£0	£13,418	-15.5%	£3,699	Yes
St Joseph's Catholic Primary School, Wetherby	-£111,420	£0	-£111,420	-£128,679	£0	-£128,679	-£17,259	£0	-£17,259	-15.8%	£6,672	
Ireland Wood Primary School	-£208,857	-£17,064	-£225,921	-£308,236	-£17,314	-£325,549	-£99,379	-£249	-£99,628	-15.8%	£15,985	
Grange Farm Primary School	-£257,147	£0	-£257,147	-£298,135	£0	-£298,135	-£40,988	£0	-£40,988	-16.1%	£20,251	
The New Bowerley Community Primary School	-£280,961	-£7,778	-£288,739	-£345,147	-£12,614	-£357,760	-£64,185	-£4,836	-£69,021	-16.1%	£24,295	
Ad St John The Baptist C of E Primary School	-£104,282	£0	-£104,282	-£128,112	£0	-£128,112	-£23,830	£0	-£23,830	-16.7%	£12,981	
Alston C/E Primary School	-£190,812	-£265,672	-£456,485	-£345,189	-£180,025	-£525,214	-£154,377	£85,647	-£68,729	-16.8%	£36,457	
Queensway Primary School	-£162,528	-£69,664	-£232,192	-£164,875	-£30,112	-£194,987	-£2,347	£39,552	£37,205	-16.9%	£18,518	Yes
Thornpe Primary School	-£144,477	-£50,822	-£195,299	-£198,633	-£70,005	-£268,638	-£54,156	-£19,183	-£73,339	-17.4%	£27,545	
Quarry Mount Primary School	-£114,931	£0	-£114,931	-£168,964	-£196	-£169,160	-£54,033	-£196	-£54,229	-17.5%	£24,426	
St Nicholas Catholic Primary School	-£249,954	-£11,622	-£261,575	-£199,547	-£8,874	-£208,421	£50,407	£2,747	£53,154	-17.7%	£30,078	Yes
Alwoodley Primary School	-£278,826	£0	-£278,826	-£309,686	£0	-£309,686	-£30,861	£0	-£30,861	-17.7%	£47,177	Yes
St Francis Catholic Primary School, Morley	-£125,602	-£19,589	-£145,191	-£160,772	-£46,318	-£207,090	-£35,170	-£26,729	-£61,899	-19.0%	£33,959	
Blenheim Primary School	-£150,408	-£100,717	-£251,125	-£414,255	-£120,958	-£535,213	-£263,847	-£20,241	-£284,088	-20.9%	£117,095	
Lane End Primary	-£109,932	£0	-£109,932	-£244,345	£0	-£244,345	-£134,413	£0	-£134,413	-21.3%	£71,996	
Swarcliffe Primary School	-£243,336	£7,500	-£235,836	-£333,606	-£4,130	-£337,737	-£90,270	-£11,630	-£101,900	-23.2%	£117,532	Yes
Hawthornthwaite Wood Primary School	-£316,758	£0	-£316,758	-£298,685	£0	-£298,685	£18,072	£0	£18,072	-24.6%	£116,317	Yes
Beechwood Primary School	-£395,237	-£7,869	-£403,106	-£477,200	-£8,278	-£485,478	-£81,963	-£409	-£82,372	-24.8%	£189,142	Yes
Hollybush Primary	-£706,886	-£68,953	-£775,838	-£568,708	-£36,470	-£605,178	£138,178	£32,482	£170,660	-26.5%	£247,400	Yes
Beecroft Primary School	-£349,168	-£73,770	-£422,939	-£369,208	-£84,910	-£454,117	-£20,040	-£11,139	-£31,179	-28.6%	£175,624	
Total Primary Schools	-£21,491,695	-£3,281,706	-£24,773,401	-£20,156,218	-£2,729,427	-£22,885,645	£1,335,477	£552,278	£1,887,755	-8.3%	£1,342,205	

SECONDARY SCHOOLS

Wetherby High School	£577,871	-£86,971	£490,901	£896,610	£102	£896,711	£318,738	£87,073	£405,811	26.8%		
Ralph Thoresby School	£672,159	£0	£672,159	£832,279	£0	£832,279	£160,120	£0	£160,120	15.4%		
Boston Spa School	£58,976	-£46,520	£12,456	£593,431	-£9,800	£583,631	£534,455	£36,720	£571,174	13.8%		
Carr Manor Community School	£318,106	-£46,297	£271,809	£537,994	£0	£537,994	£219,888	£46,297	£266,185	7.6%		
Lawnswood School	£498,080	£0	£498,080	£413,228	£0	£413,228	-£84,852	£0	-£84,852	6.4%		

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Balances at 31st March 2017				Balances at 31st March 2018			Change in Balances			Balance as %ge of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
School Name	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			
Benton Park School	£449,102	£0	£449,102	£328,311	£0	£328,311	£-120,791	£0	£-120,791	5.0%		
Guiseley School	£59,684	£0	£59,684	£77,931	£0	£77,931	£18,247	£0	£18,247	1.3%		
Pudsey Grangefield School	£-57,851	£0	£-57,851	£36,016	£0	£36,016	£93,867	£0	£93,867	0.6%		
Priesthorpe School	£82,552	£-40,008	£42,545	£0	£0	£0	£-82,552	£40,008	£-42,545	0.0%		
Mount St Mary's Catholic High School	£-131,015	£0	£-131,015	£-3,432	£0	£-3,432	£127,583	£0	£127,583	-0.1%		
Allerton High School	£-200,274	£0	£-200,274	£-130,069	£0	£-130,069	£70,205	£0	£70,205	-1.9%		
Cardinal Heenan Catholic High School	£-326,864	£0	£-326,864	£-154,712	£0	£-154,712	£172,152	£0	£172,152	-3.3%		
Roundhay School	£-172,837	£0	£-172,837	£-403,434	£0	£-403,434	£-230,597	£0	£-230,597	-3.7%		
Temple Moor High School Science College	£-360,268	£0	£-360,268	£-245,131	£0	£-245,131	£115,137	£0	£115,137	-3.8%		
Allerton Grange School	£-318,315	£0	£-318,315	£-388,717	£0	£-388,717	£-70,403	£0	£-70,403	-5.0%		
Corpus Christi Catholic College	£-404,806	£0	£-404,806	£-358,634	£0	£-358,634	£46,172	£0	£46,172	-6.6%		
Rodds School	£-292,058	£0	£-292,058	£-386,741	£0	£-386,741	£-94,683	£0	£-94,683	-7.4%		
Total Secondary Schools	£452,243	£-219,796	£232,447	£1,644,929	£-9,699	£1,635,231	£1,192,687	£210,097	£1,402,784	1.7%	£0	

SPECIAL SCHOOLS

North West Specialist Inclusive Learning Centre	£590,618	£531	£591,149	£1,355,382	£0	£1,355,382	£764,763	£-531	£764,232	44.2%		
Broomfield School	£13,415	£0	£13,415	£-104,751	£0	£-104,751	£-118,166	£0	£-118,166	-2.9%		
West Oaks SEN Specialist School and College	£226,153	£0	£226,153	£-146,139	£0	£-146,139	£-372,292	£0	£-372,292	-3.0%		
West Specialist Inclusive Learning Centre	£-38,380	£0	£-38,380	£-197,890	£0	£-197,890	£-159,510	£0	£-159,510	-5.3%		
John Jamieson School	£-382,457	£-404,825	£-787,282	£-380,318	£-357,489	£-737,807	£2,139	£47,336	£49,475	-9.6%		
Total Special Schools	£409,349	£-404,294	£5,055	£526,284	£-357,489	£168,795	£116,934	£46,805	£163,740	2.7%	£0	

TOTALS FOR ALL SCHOOLS	£-20,630,103	£-3,905,796	£-24,535,899	£-17,985,005	£-3,096,615	£-21,081,620	£2,645,098	£809,181	£3,454,279	-5.0%	£1,342,205	
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AREA INCLUSION PARTNERSHIPS

NW Area Inclusion Partnership Total	£0	£-543,881	£-543,881	£0	£-651,254	£-651,254	£0	£-107,373	£-107,373			
EAST Area Inclusion Partnership Total	£0	£-308,784	£-308,784	£0	£-140,636	£-140,636	£0	£168,149	£168,149			
SOUTH Area Inclusion Partnership Total	£0	£-629,427	£-629,427	£0	£-214,494	£-214,494	£0	£414,934	£414,934			
WEST Area Inclusion Partnership Total	£0	£-297,975	£-297,975	£0	£-415,075	£-415,075	£0	£-117,100	£-117,100			

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School Name	Balances at 31st March 2017			Balances at 31st March 2018			Change in Balances			Balance as %age of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			
WEST Area Inclusion Partnership Total	£0	-£225,099	-£225,099	£0	-£113,853	-£113,853	£0	£111,245	£111,245			

Total Area Inclusion Partnerships	£0	-£2,005,166	-£2,005,166	£0	-£1,535,312	-£1,535,312	£0	£469,855	£469,855
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CLUSTERS

A.R.M. Cluster	£0	-£325,582	-£325,582	£0	-£306,028	-£306,028	£0	£19,553	£19,553
Aireborough Cluster	£0	-£46,682	-£46,682	£0	-£56,628	-£56,628	£0	-£9,946	-£9,946
Ardsley and Tingley Cluster	£0	-£71,790	-£71,790	£0	£0	£0	£0	£71,790	£71,790
Ardsley Cluster/ACES	£0	-£55,529	-£55,529	£0	-£105,180	-£105,180	£0	-£49,651	-£49,651
Bairston, Cottingley & Middleton Cluster	£0	-£114,588	-£114,588	£0	-£93,869	-£93,869	£0	£20,719	£20,719
Brighley Cluster	£0	-£320,987	-£320,987	£0	-£289,794	-£289,794	£0	£31,193	£31,193
Brigshaw Cluster	£0	-£54,436	-£54,436	£0	-£168	-£168	£0	£54,268	£54,268
2gether Cluster	£0	-£300,909	-£300,909	£0	-£309,005	-£309,005	£0	-£8,095	-£8,095
EPOS Cluster	£0	-£31,594	-£31,594	£0	-£26,763	-£26,763	£0	£4,832	£4,832
ES North West Cluster	£0	-£94,557	-£94,557	£0	-£70,839	-£70,839	£0	£23,718	£23,718
Farnley Cluster	£0	-£81,532	-£81,532	£0	-£104,264	-£104,264	£0	-£22,732	-£22,732
Horsforth Cluster	£0	-£89,953	-£89,953	£0	-£70,352	-£70,352	£0	£19,600	£19,600
Inner East Cluster	£0	-£82,518	-£82,518	£0	-£222,587	-£222,587	£0	-£140,069	-£140,069
Inner NW Hub Cluster	£0	-£75,424	-£75,424	£0	£2,033	£2,033	£0	£77,457	£77,457
JESS Cluster	£0	-£169,585	-£169,585	£0	-£223,562	-£223,562	£0	-£53,977	-£53,977
Morley Childrens Services Cluster	£0	-£276,632	-£276,632	£0	-£136,279	-£136,279	£0	£140,354	£140,354
Open XS Cluster	£0	-£36,254	-£36,254	£0	£0	£0	£0	£36,254	£36,254
PRU Cluster	£0	-£41,651	-£41,651	£0	£0	£0	£0	£41,651	£41,651
Pudsey Cluster	£0	-£215,591	-£215,591	£0	-£218,277	-£218,277	£0	-£2,686	-£2,686
Rothwell Cluster	£0	-£68,853	-£68,853	£0	-£89,331	-£89,331	£0	-£20,478	-£20,478
Seacroft/Manston Cluster	£0	-£159,337	-£159,337	£0	-£143,641	-£143,641	£0	£15,696	£15,696
SILC Cluster	£0	-£246,640	-£246,640	£0	-£119,552	-£119,552	£0	£127,088	£127,088
Temple Newsam/Halton Cluster	£0	-£144,878	-£144,878	£0	-£162,155	-£162,155	£0	-£17,277	-£17,277

Total Clusters	£0	-£3,105,502	-£3,105,502	£0	-£2,746,243	-£2,746,243	£0	£359,260	£359,260
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TOTALS FOR ALL SCHOOLS, AIPs & CLUSTERS	-£20,630,103	-£9,016,465	-£29,646,568	-£17,985,005	-£7,378,169	-£25,363,174	£2,645,098	£1,638,295	£4,283,393
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Appendix 1 - School and Extended School Balances

Notes:

Deficits are shown as positive figures, and surpluses are negatives

Schools shaded in grey have converted to academies

School Name	Balances at 31st March 2017			Balances at 31st March 2018			Change in Balances			Balance as %ge of 2017/18 School Budget Share	Potential Excess Balance	Surplus Balance Greater than 15% over 3 successive years
	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward	School Balance carried forward	Extended Services Balance	Total Balance carried forward			

Summary	2016-2017	2017-2018	Variance
School Budget			
Primary	-£21,491,695	-£20,156,218	£1,335,477
Secondary	£452,243	£1,644,929	£1,192,687
Special	£409,349	£526,284	£116,934
Extended Services and Partnership Accounts			
Extended Schools Facilities	-£3,860,510	-£3,086,815	£773,696
Area Inclusion Partnerships	-£2,005,166	-£1,535,312	£469,855
Sports Development	-£45,286	-£9,800	£35,485
Clusters	-£3,105,502	-£2,746,243	£359,260
	-£29,646,568	-£25,363,174	£4,283,393

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Report of the Director of Children and Families

Report to the Leeds Schools Forum

Date: 14 June 2018

Subject: Free school meal eligibility changes

Report Author: Louise Hornsey

Contact telephone number: 0113 3788689

1 Background information

- 1.1 Since 2013, as a temporary measure, all families receiving Universal Credit have been entitled to free school meals, the early years pupil premium and free early education entitlement for two-year olds, pending the introduction of new eligibility criteria.
- 1.2 In November 2017, the DfE started a consultation on new eligibility criteria. At the February 2018 Schools Forum meeting a query was raised by a member of Schools Forum in relation to the proposals. The DfE had predicted that their proposals would mean around 50,000 more children would receive free school meals by 2022, however it was not clear whether pupil premium rates would stay the same or whether rates would reduce due to increased demand for funding. The member of Schools Forum had already written to the Minister of State for Children and Families to raise this query, and a request was made for the Schools Forum Chair to also send a letter in relation to this.

2 Main issues

- 2.1.1 Since the query was raised at Schools Forum, the DfE has published a response to the consultation in March 2018 which provides details of changes to eligibility criteria and funding arrangements. Within this there is no indication of any changes to pupil premium rates, and the published rates for 2018/19 are at the same level as they were for 2017/18.
- 2.1.2 From April 2018, free school meal eligibility will be for children from households with an income of up to £7,400 a year, excluding benefits, which is the equivalent to £18,000 to £24,000 a year including benefits.
- 2.1.3 The DfE has confirmed that protection will be in place for those families who would otherwise have lost eligibility for free school meals because of the change, and those who become eligible during the rollout of Universal Credit. The protections will be in place until the end of the Universal Credit rollout (March 2022), and then until the end of each protected pupil's phase of education if they are still in school when the rollout

of Universal Credit is completed. These protections will mean that no family should lose out because of these changes and they are intended to be straightforward for schools to administer.

- 2.1.4 The consultation response states that the DfE will provide additional funding to schools to recognise the increase in the number of free school meals they will be providing. This will be in the form of an additional school-level meal cost grant for 2018/19 and 2019/20. The allocation will be determined by the difference between a school's free school meals numbers in the October censuses as follows:

Financial year	What will be measured	What schools will receive
2018-19	School-level FSM increase between October 2018 and October 2017 census	Measured increase in number of pupils x £440
2019-20	School-level FSM increase between October 2019 and October 2018 census	Measured increase in number of pupils x £440

- 2.1.5 This grant will not affect a school's allocation through the pupil premium, national funding formulae or any other source of existing funding. The DfE will set out further technical details in due course, including how they will adjust for overall changes in pupil numbers in schools facing significant growth. They will assess whether there is any need to continue this grant beyond 2020 as Universal Credit rollout progresses, in the light of the outcome of the next Spending Review.

- 2.1.6 The full response from the government consultation can be found at the link below:

https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/692644/Government_response_FSM_and_EY_entitlements_under_Universal_Credit.pdf

3 Recommendations

- 3.1 Schools Forum is asked to note the DfE changes relating to eligibility for free school meals.



Report of the Director of Children and Families

Report to the Leeds Schools Forum

Date: 14 June 2018

Subject: SEMH provision set up funding

Report Author: Louise Hornsey

Contact telephone number: 0113 3788689

Summary of main issues

1. At the February 2018 meeting Schools Forum requested a report on the set up funding paid by the local authority to Springwell Leeds. This request was made following a report to Schools Forum on set up funding available to new mainstream schools through the Growth Fund.
2. This report sets out details of the revenue set up funding paid to Springwell Leeds by the council, the process by which this was approved, differences in funding compared to the Growth Fund and the arrangements for monitoring outcomes for pupils.

Recommendations

3. Schools Forum is asked to note the revenue set up funding paid to Springwell Leeds, the formal approval this has received and the assurances provided around ongoing collaborative working and monitoring.

1 Background information

- 1.1 Following Ofsted reports that identified Elmete BESD SILC as inadequate, Wellspring was invited by the council to take over the provision and to work in partnership with the authority to develop an SEMH plan and multi-site academy that would improve education and support for children and young people with complex SEMH needs.
- 1.2 In a report to Executive Board in November 2015 it was noted that without this new provision there would have been significant difficulties in securing alternative places for these young people, and substantial revenue pressures would be generated by placing a large number of young people out of the city. Using the quoted standard rate charges made by a private provider at the time, the cost to meet the needs of 240 learners was estimated to require a minimum revenue expenditure of £13.2m per year.
- 1.3 In order to establish this new multi-site provision, set up funding was provided from the high needs block to support some pre-opening costs, further details of which are provided below.

2 Main issues

2.1 Set up funding provided

- 2.1.1 Taking into account the nature and complexities of the project to establish a new multi-site SEMH provision (see 2.2 below for further details), pre-opening revenue funding was agreed for three temporary Springwell Leeds sites in 2016/17. This mainly provided funding for pre-opening staffing costs, as well as some funding for the Academy Trust's overheads for supporting the new arrangements and equipment and resources. Funding was also agreed for 2017/18 and 2018/19 when three new provisions opened and the significant growth in places required additional staff to be employed and trained before the places could be made available.
- 2.1.2 All decisions to provide this funding have been taken as either Key or Significant Operational Decisions through the council's formal decision making process. These decisions were published on the council's website and were approved by the Director of Children and Families. The funding arrangements have also been formally documented in a cooperation agreement between the council and Springwell Leeds.
- 2.1.3 The revenue funding provided for Springwell Leeds for set up costs is as follows:

Year	Amount	Site
2016/17	£146,250	Meanwood
	£312,500	Brudenell
	£249,000	Horsforth
	£10,550	Horsforth site rent
2017/18 - 2018/19	£275,000	East
	£260,000	South
	£395,000	North
	£14,997	Horsforth site rent

Total £1,663,377

2.2 Comparison with the Growth Fund

- 2.2.1 New mainstream schools set up by the local authority receive some set up funding through the Growth Fund, which is established each year through a top slice of the schools block following approval by Schools Forum. Up to £115k is payable to a new single site mainstream school, depending on size and phase. Additional funding is also available for rental costs due to growth, and to provide equipment for pupils.
- 2.2.2 Funding for special schools is provided through the high needs block and there is no requirement for the local authority to develop a Growth Fund for special schools. Any decisions on set up funding are instead made on a case by case basis and in line with the council's formal decision making process. The unique and complex nature of the project to develop the Springwell Leeds multi-site SEMH provision has meant that the set up costs for this have been higher than those payable to a mainstream school under the Growth fund. Details of the reasons for this are set out below.

Nature of the project

- 2.2.3 Establishing the new SEMH provision has involved a change management process on a significant scale, which included developing buildings across multiples sites and the need for significant resource and collaborative working.
- 2.2.4 The set up funding provided for mainstream schools through the Growth Fund assumes that a school would be operating from one site, whereas Springwell Leeds initially operated across several interim sites and significant work has taken place to develop permanent provision across four sites, increasing the number of SEMH places in the city from 70 to 340. The multiple sites combined with the scale and complexity of the project has resulted in greater set up costs than we would expect to see for a new mainstream school operating from a single site.

Pre-opening staffing costs

- 2.2.5 The set up funding available to a mainstream school through the Growth Fund covers a Headteacher's salary for the summer term before opening as well as other costs including some non-teaching staff needed during the summer period, recruitment costs, and a contribution towards the cost of releasing some leadership posts from their existing roles at other schools, prior to them being paid through payroll at the new school.
- 2.2.6 The Growth Fund does not provide funding for teachers and support staff before opening as there is an assumption that appropriately skilled staff can be recruited for mainstream schools. However due to the specialist nature of the SEMH provision at Springwell Leeds, there was a need to train teachers and support staff in advance of opening new sites and at key stages of growth, in order to ensure they were appropriately skilled and ready to support children and young people. This recruitment

and training has been on significant scale; Springwell Leeds has advised that 48% of staff who were inherited from the previous provisions have left and 122 new staff have been recruited.

2.3 Monitoring arrangements

2.3.1 Springwell Leeds has been working collaboratively with the council to deliver a quality SEMH provision. The arrangements relating to the partnership, including the monitoring of outcomes, are documented in the cooperation agreement between the council and Springwell Leeds. This details how the performance of Springwell Leeds will be measured in order to demonstrate the quality of provision and outcomes for learners. In addition to this, regular liaison meetings take place between the council and Springwell Leeds.

2.3.2 We have also agreed with Elected Members that we will provide an annual report to them on the cost impact and outcomes of this investment.

3 Recommendations

3.1 Schools Forum is asked to note the revenue set up funding paid to Springwell Leeds, the formal approval this has received and the assurances provided around ongoing collaborative working and monitoring.



Report of the Director of Children and Families Services

Report to the Leeds Schools Forum

Subject: Dedicated Schools Grant 2017-18 – Outturn report and forward view

**Report authors: Simon Criddle
Head of Finance – Children & Families**

Contact number 07891 274578

**Shirley Maidens
Senior Financial Manager**

Contact number 0113 3788532

1 Summary of 2017/18 Outturn

- 1.1 This report is to inform members of Schools Forum of the final 2017/18 outturn on the Dedicated Schools Grant (DSG) and provide a high level update on some key issues that are likely to have an impact on the 2018/19 financial position.
- 1.2 This report shows an overall in year underspend of £0.782m on general DSG. This has been used to reduce the deficit balance brought forward from 2016/17 of £4.161m which means there is a deficit balance on general DSG of £3.379m to be carried forward to 2018/19.
- 1.3 This is an improvement of £0.554m on the position that was reported to Schools Forum members in February, which equates to 0.13% variance of the projected expenditure. The most significant area of overspend is on the high needs block as previously reported although the overspend is less than forecast in February mainly because of lower spend than previously projected on top-up payments.
- 1.4 There was an in year overspend of £0.103m on de-delegated DSG. A surplus of £0.528m was brought forward from 2016/17 making a total year end surplus of £0.425m to be carried forward into 2018/19.
- 1.5 Overall, the variance on general DSG is analysed as follows:-

	Month 10 Projection			Final Outturn			Change
	Funding	Projection	Variance	Funding	Projection	Variance	
	£000	£000	£000	£000	£000	£000	£000
School's Block	317,772	317,112	(660)	317,531	316,835	(696)	(36)
Early Years Block	52,275	49,972	(2,303)	51,720	49,264	(2,456)	(153)
High Needs Block	57,199	59,934	2,735	57,198	59,568	2,370	(365)
Total in-year underspend	427,246	427,018	(228)	426,449	425,667	(782)	(554)
Deficit balance b/fwd			4,161			4,161	0
Deficit balance c/fwd			3,933			3,379	(554)

(note – a negative figure is an underspend and a positive figure an overspend)

- 1.6 A more detailed explanation of the key variances is provided for each of the DSG blocks in sections 2 to 4.

2 Schools Block

- 2.1 The majority of the Schools Block is allocated to primary and secondary schools (ISB), with smaller amounts for de-delegated services and a central provision. At the end of the year, there was an underspend of £696k which is an increase of £36k compared to February. The actual costs and variances are summarised below:-

	Latest Estimate £000	Outturn £000	Variance £000
DSG Income Received	(324,708)	(317,531)	7,177
Individual Schools Budget	311,768	304,363	(7,405)
Central Provision			
- Equal Pay costs	1,037	1,037	0
- Growth Fund	2,900	2,469	(431)
- Former ESG Retained Duties	1,724	1,724	0
- All other central provision costs	2,240	2,203	(37)
Total Schools Block	(5,039)	(5,735)	(696)
 De-delegated budgets	 5,039	 5,142	 103

(note: a bracketed figure is an underspend and a positive figure an overspend)

- 2.2 When a school becomes an academy, funding payments are made directly by the Education and Skills Funding Agency (ESFA). For Leeds City Council this means that there is a reduction in grant income which is largely matched by reduced expenditure, though overall there is a slight underspend as a result of recoupment adjustments on NNDR in respect of schools which have converted to academies during 2017/18.
- 2.3 Due to slippage in planned places there was an underspend of £431k on the Growth Fund. However, it is expected that the costs slipped from 2017/18 will be incurred during 2018/19 resulting in a potential pressure in that year. There are also a number of minor underspends totalling £37k on other central provision budgets.
- 2.4 The overspend on de-delegated services is due to increasing maternity pay costs above normal levels (an overspend of £284k) which is partly offset by underspends on all other de-delegated budgets. Overall de-delegated budgets were overspent by £103k. The de-delegated reserve has therefore reduced to £425k at the end of 2017/18. At Schools Forum in January 2018 it was reported that £300k of this would be utilised during 2018/19 to reduce de-delegated costs in that year, which would leave £125k to carry forward into 2019/20.

3 Early Years Block

- 3.1 The Early Years Block was underspent by £2,456k, which was a change of £153k compared to the projection in February. The actual costs and variances are summarised below:-

	Latest Estimate	Outturn	Variance
	£000	£000	£000
DSG Income Received	(50,234)	(51,720)	(1,486)
EEEE 3 & 4 year olds	38,672	39,169	497
EEEE 2 year olds	8,265	7,772	(493)
SEN Inclusion Fund	515	97	(418)
Early Years Pupil Premium	552	491	(61)
Disability Access Fund	172	77	(95)
Early Years Centrally Retained Funding	2,058	1,658	(400)
Total Early Years Block	0	(2,456)	(2,456)

(note: a bracketed figure is an underspend and a positive figure an overspend)

- 3.2 Due to the way Early Years is funded (with the final grant income based on the pupil numbers as at January 2017 and January 2018) there is a difference between the number of places funded and the number of places paid to providers. Some of these savings may be non-recurring depending on pupil numbers at the January census. As previously reported, the final grant allocation for 2017/18 will not be confirmed until later this year. However, an estimate has been made of what this adjustment will be and has been included in the above figures. Any variance between this estimate and the final allocation will be taken account of in 2018/19.
- 3.3 For 2 year olds the January census has usually been the lowest of the year and in order to not overspend this budget, the amount paid to providers is £5.05 an hour while the funding is £5.20 per hour to compensate for this. However, the pupil numbers for 2017/18 have not been the case this year. This means that there was £225k more income than expenditure in 2017/18. Although the funding to Leeds City Council for 2018/19 remains at £5.20 per hour, to reflect the impact of the underspend the amount to be paid to providers has been increased to £5.10 per hour.
- 3.4 For 3 and 4 year olds the funding received is effectively for more places than the payments made to providers. Overall, there was £1,359k more funding than expenditure. Based on initial details from the January census there has been a significant take up of the additional 15 hours offer for working parents in the private, voluntary and independent sector, though this is partly offset by a lower than expected take up in schools. Again, although the funding to Leeds City Council for 2018/19 remains at £4.81 per hour, to reflect the impact of the underspend the amount to be paid to providers has been increased from £4.20 to £4.25 per hour.
- 3.5 In addition, part of the funding was allocated to specific areas. Of these, the SEN Inclusion Fund has received fewer applications for funding than expected which has resulted in an underspend of £418k. For 2018/19, the process for requesting SENDIF has been simplified with settings able to apply directly to the fund rather than a professional referral being required. The rate is set at a level just below the value of FFI, in line with the tiered approach of support available to SEN.

- 3.6 There was no call on the early year's contingency fund and so this was £400k underspent. As a result of this, the contingency budget for 2018/19 has been reduced to £170k.
- 3.7 The Disability Access Fund was underspent by £95k. This is because the DfE estimates the number of children that are eligible, but does not take into account whether they are claiming their universal entitlement to early education. It has been assumed that the final grant received will be reduced by this amount.
- 3.8 Although there is a significant underspend in Early Years, within the High Needs Block there is a projected overspend of £219k on Early Years Funding for Inclusion.

4 High Needs Block

- 4.1 The High Needs Block was overspent by £2,370k which is an improvement of £365k compared to February. The actual costs and variances are summarised in the table below:-

	Latest Estimate £000	Actual £000	Variance £000
DSG Income Received	(56,776)	(57,198)	(422)
Funding Passported to Institutions			
- SILC and Resource Provision Places	9,761	10,236	475
- Deficit SILC balances	300	0	(300)
- Outside and external residential placements	6,628	7,668	1,040
- Alternative Provision (including AIP's)	4,327	4,941	614
- SEN Top-ups to Institutions	27,289	29,175	1,886
- Mainstream additional places (£6k blocks)	1,000	930	(70)
- Mental Health beds for adolescents	0	196	196
- SEMH set up costs	0	550	550
Commissioned Services			
- Hospital & Home Tuition	1,294	1,276	(18)
- PD & Medical Service	94	94	0
Children's Services			
- Autism support (STARS)	393	347	(46)
- Children missing out on education	257	233	(24)
- Management of AP	105	105	0
- SEN adaptations	141	154	13
- SEN Inclusion Team	1,773	1,054	(719)
- Sensory Service	2,328	2,275	(53)
- Teenage pregnancy	214	231	17
- Virtual school (Children Looked After)	103	103	0
Other items			
- Contribution to reserves	769	0	(769)
Total High Needs Block	0	2,370	2,370

(note: a bracketed figure is an underspend and a positive figure an overspend)

- 4.2 Following receipt of updated DSG allocations the academy recoupment is lower than expected though there are some increases in deductions for provision paid directly by the ESFA. In addition, a further £18k has been added to the allocation to specifically fund increased hospital costs giving an increase in funding of £422k.
- 4.3 The SILC academy conversion did not take place during 2017/18. As a result the expected deficit on conversion to academy status will not need to be funded until 2018/19 and so this budget was not spent.
- 4.4 There have been ongoing discussions with the Area Inclusion Partnerships around sustainable budgets for 2017/18 to enable them to continue to make provision for children with exceptional social, emotional and mental health needs. The budgets that have been agreed, together with some claw-back of reserves, will result in a saving of £1.2m rather than the £1.8m that was proposed.
- 4.5 SEN top-ups to institutions continues to be the largest area of overspend, largely as a result of increased demand and complexity of cases. Overall, the overspend was £1.886m. This overspend in this area has reduced since projected in February due to the complex nature of forecasting these costs.
- 4.6 There are a number of charges from private hospitals for the education provision for mental health hospital beds for young people. Work has taken place to establish responsibilities and reduce the cost of these charges. As a result, the additional cost was £196k per year rather than the £350k initially estimated.
- 4.7 Costs of £550k were incurred for the new SEMH provision, largely for staffing set up costs for the new sites opening in 2017/18.
- 4.8 The outside placements and external residential placements budget was £1,040k overspent. Although a number of outside placement pupils have been brought back into Leeds, it has not been possible to reduce the costs sufficiently to prevent this overspend. In addition, there has continued to be an increase in numbers of specialist education and residential placements which have resulted in further costs on this budget.
- 4.9 As reported to Schools Forum in February 2017, the Early Years Block is now funding some of the SENIT and Portage costs, freeing up £600k high needs funding.
- 4.10 There have been further underspends on service provided directly by Children and Families which have partly been as a result of reduced expenditure and additional income generated which reduced the call on DSG.
- 4.11 The budget was set with an assumption that there would be a contribution of £769k towards the deficit reserve brought forward from 2016/17. As a result of the overspends detailed above, this specific contribution will not now be made.

5 2017-18 Reserves

- 5.1 The 2017-18 estimated DSG funding has been adjusted for expected changes in the various blocks, though the final amount will not be confirmed by the Education and Skills Funding Agency until later in the year. The table below shows the position as at 31st March 2018.

	£000	£000	£000
Balance b/fwd from 2016/17	4,161	(528)	3,633
2017/18 Variances			
- School's Block	(696)	103	(593)
- Early Years Block	(2,456)		(2,456)
- High Needs Block	2,370		2,370
Balance c/fwd to 2018/19	3,379	(425)	2,954

6 Forward view to 2018/19 main issues

- 6.1 When the budget for 2018/19 was set following the review of the High Needs Block carried out by Children & Families, it included a number of proposals to address the underlying budget pressures. Although it is still early in the new financial year, a number of issues are emerging that are likely to have an impact on the 2018/19 budget.
- 6.2 Within the Schools Block the largest risks are around the Growth Fund as a result of the slippage in places from 2017/18 and the potential continuing overspend on maternity pay costs. However it is too early in the year to estimate an impact of these issues.
- 6.3 Based on earlier years' underspend, the Early Years Block is projected to also underspend in 2018/19, though as the hourly rate to providers has increased, this underspend is not expected to be at the same level, therefore an underspend of £1,000k is projected. In addition, there is a risk that the income adjustment relating to 2017/18 may be slightly different to the amount assumed.
- 6.4 There are a number of areas within the High Needs Block where variances are currently projected. These include:-
- 6.4.1 The North West SILC deficit currently stands at £1,355k, which will need to be funded on conversion to academy status. Work is ongoing with the SILC on the 2018/19 budget, though there is a risk that the deficit could increase.
- 6.4.2 Following a review of costs at the SEMH provision, there is an increase in top up funding of £276k.
- 6.4.3 A continued increase in place and top up funding at specialist provision is estimated to produce an overspend of £250k.
- 6.4.4 Current indications from the Funding For Inclusion database suggest that there is likely to be an overspend of approximately £300k on top-ups to institutions other than specialist provision. However, further work is needed to verify this amount.
- 6.4.5 Outside and Residential Placements – although the number of outside placements has reduced following the opening of new SEMH sites, the number has not yet reduced to the level assumed in the budget. As a result there is risk of an overspend on this budget, though work is ongoing to analyse this further.
- 6.4.6 Clawback of excess AIP balances is expected to result in approximately £250k of funding coming back into the High Needs Block.

- 6.4.7 For 2018/19, a budget was set to pay back some of the deficit reserve. Due to the projected overspends above it is now unlikely that the contribution will be made, which will result in £946k less expenditure.
- 6.4.8 At February's Schools Forum, a query was raised on the level of DSG deductions in relation to Alternative Provision Free Schools. Since then, we have received confirmation from the Education & Skills Funding Agency of these deductions which is in line with the budget set for 2018/19.
- 6.4.9 Overall, it is expected that there could be an overspend of approximately £500k on DSG during 2018/19. A more detailed monitoring report will be presented at the Schools Forum in October following further work.

7 High Needs Block and overall DSG future year projections

- 7.1 Attached at appendix 1 is an assessment of the impact of the 2017/18 outturn and the main issues for 2018/19 identified above on the projections of future years costs and the overall DSG balance.
- 7.2 An adjustment has been made to take into account a proposed change on place funding for special free schools. At the moment, this is funded separately by the ESFA, but they are currently consulting on changes to bring this into the DSG to be funded in the same way that mainstream and academy special schools currently are. Overall the impact on Leeds for 2019/20 is expected to be cost neutral, but the grant due and the ESFA deductions have been adjusted to reflect this proposal.
- 7.3 Overall there is still a high level of uncertainty on funding levels from 2020/21 onwards as this is subject to a comprehensive spending review and no further information has been received since previously reported to Schools Forum. It is assumed that the 3% cap on gains will remain and the estimated value of this cap is shown at the end of appendix 1.
- 7.4 Based on current estimates and assuming a contribution of £1m a year to reduce the deficit DSG, further savings or increased funding is required to balance the high needs budget in each of the next 3 years as follows:-

	£000
2019/20	2,921
2020/21	1,703
2021/22	658

For 2019/20 this could be achieved by transferring funding from the schools and central services to schools blocks, although this would be subject to further consultation with schools and approval from Schools Forum. However, this option is unlikely to be available for future years.

- 7.5 As the projections of future years costs include repaying £1m each year towards the deficit reserve, assuming that the shortfall detailed above is funded, the estimated deficit on general DSG will stand at:-

£000

2017/18	3,379
2018/19	3,618
2019/20	2,618
2020/21	1,618
2021/22	618

8 Recommendations

- 8.1 Schools Forum is requested to note that the deficit on the general DSG is £3.379m, with de-delegated reserves standing at a surplus of £0.425m. These balances will be carried forward to 2018/19.
- 8.2 Schools Forum is requested to note the potential budget pressures in 2018/19 and that a more detailed budget monitoring report will be provided at the next Schools Forum meeting.

ANALYSIS OF DSG HIGH NEEDS BLOCK 2016/17 TO 2021/22

	2016/17 Actual	2017/18 Actual	2018/19 Original Estimate	2018/19 Projection	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate	Comments
	£000	£000	£000	£000	£000	£000	£000	
<u>DSG Funding On High Needs</u>								
High Needs Block baseline	57,919	60,746	66,338	66,338	69,535	72,442	75,535	Based on latest information from DfE on how High Needs Block will be calculated, which takes into account proposed cap on gains. Also included is proposed transfer of special free school place funding into DSG.
Growth funding and other adjustments	882	1,903	500	500	0	0	0	Growth funding is in the base calculation from 2019/20 onwards.
Places funded directly by the ESFA	(3,704)	(7,451)	(9,038)	(9,038)	(10,254)	(10,254)	(10,254)	Phased increase in SEMH places and significant increase in post 16 places. Now includes special free school place deductions (offsets increase above).
Funding transferred from Schools Block	0	2,000	2,000	2,000	0	0	0	Assumes £2m transfer from Schools is non-recurrent.
Funding transferred from Central School Services Block			500	500				Assumes £500k transfer from CSSB is non-recurrent.
Total High Needs Block grant	55,097	57,198	60,300	60,300	59,281	62,188	65,281	
<u>Funding Passported To Institutions</u>								
SILC and Resource Provision Places	10,474	10,236	10,169	10,169	10,581	11,201	11,822	Based on additional 160 places by 2021. These projections could change depending on a decision over what settings these places will be in. As per the NFF funding model, basic entitlement funding for pupils in resourced provision will be funded from the schools block. Costs have therefore been reduced correspondingly.
Deficit SILC balances	729	0	0	1,355	0	0	0	Conversion to academy status now expected in 2018-19.
Outside placements and external residential placements	7,195	7,668	6,283	6,283	5,818	5,628	5,838	Outside placements based on projected number of placements each year while external residential placements is based on education costs of specialist education and residential placements.
Alternative Provision and AIP's	6,012	4,941	5,256	5,006	5,256	5,256	5,256	No further funding reductions currently projected.
SEN Top-ups to Institutions	26,681	29,175	30,666	31,492	32,246	33,348	34,458	Based on forecast population growth and increase in number of pupils identified with SEND (per Complex Needs Service), plus increase in pupils at SEMH provision. Also includes 5 day provision for post 16 at SILCs for 3 years, and additional 160 places by 2021.
Mainstream additional places (£6k blocks)	2,316	930	424	424	475	525	525	Additional £6k blocks - based on 2017/18 costs.
Education provision for mental health beds		196	200	200	200	200	200	Following negotiations with providers projected costs have reduced compared to the invoices initially received.
Total Passported To Institutions	53,407	53,146	52,998	54,929	54,576	56,158	58,099	
<u>Commissioned Services managed on behalf of LCC</u>								
Hospital & Home Tuition	1,278	1,276	1,484	1,484	1,499	1,514	1,529	This funding is largely a specific element within the calculation of the DSG income due. The projected costs match the income allocated.
PD & Medical Service	94	94	65	65	67	69	71	Reduction in commissioned service costs.
Total Commissioned Services	1,372	1,370	1,549	1,549	1,566	1,583	1,600	
<u>Directly Managed By Children & Families</u>								
Autism support	393	347	413	413	423	433	443	Increase for pay award (at 2%) and superannuation increase.
Children missing out on education	263	233	257	257	261	265	269	Increase for pay award (at 2%) and superannuation increase.

ANALYSIS OF DSG HIGH NEEDS BLOCK 2016/17 TO 2021/22

	2016/17 Actual	2017/18 Actual	2018/19 Original Estimate	2018/19 Projection	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate	Comments
	£000	£000	£000	£000	£000	£000	£000	
Management of AP	105	105	110	110	112	114	116	Increase for pay award (at 2%) and superannuation increase.
SEN adaptations	149	154	141	141	141	141	141	No change to budget.
SEN Inclusion Team	770	1,054	1,206	1,206	1,232	1,258	1,284	Increase for pay award (at 2%) and superannuation increase.
Sensory Service	2,343	2,275	2,177	2,177	2,223	2,269	2,315	Increase for pay award (at 2%) and superannuation increase.
Teenage pregnancy	219	231	0	0	0	0	0	Removed from DSG funding.
Virtual school (Children Looked After)	110	103	108	108	110	112	114	Increase for pay award (at 2%) and superannuation increase.
Total Directly Managed by Children & Families	4,352	4,502	4,412	4,412	4,502	4,592	4,682	
Other Costs								
SEMH set up costs	718	550	395	395	0	0	0	Payments to SEMH provision for set up costs.
SEMH prudential borrowing costs	0	0	0	0	558	558	558	Prudential borrowing costs for building of new SEMH provision - delayed to 2019/20.
Contribution to reserves	0	0	946	0	1,000	1,000	1,000	Contribution to reduce deficit reserve.
Total Other Costs	718	550	1,341	395	1,558	1,558	1,558	
Total High Needs Block Expenditure	59,849	59,568	60,300	61,285	62,202	63,891	65,939	
In Year Shortfall (Surplus)	4,752	2,370	0	985	2,921	1,703	658	
Cumulative DSG Deficit	4,161	3,379	3,633	4,618	5,539	6,242	5,900	
Options to reduce deficit								
- transfer from schools block					(2,000)			Further consultation would be required for a transfer in 2019/20.
- transfer from central school services block					(499)			Fall out of equal pay borrowing costs.
- other savings / increased funding to be identified					(422)	(1,703)	(658)	Savings or increased funding to be identified to balance budget.
Total potential savings					(2,921)	(1,703)	(658)	
Revised In Year Shortfall (Surplus)	4,752	2,370	0	985	0	0	0	
Estimated underspend elsewhere in DSG				(1,000)				
Revised DSG deficit if all options fully implemented	4,161	3,379	3,633	3,618	2,618	1,618	618	
Note:- estimated grant above 3% cap			7,096		5,266	3,740	1,763	